SYSTEM AND METHODOLOGY FOR ADAPTIVE, LINEAR MODEL PREDICTIVE CONTROL BASED ON RIGOROUS, NONLINEAR PROCESS MODEL

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System and Methodology for Adaptive, Linear Model Predictive Control Based on Rigorous, Nonlinear Process Model

1. Field of the Invention

This invention relates to the control of a multivariable, time-varying, industrial process that exhibits either linear or nonlinear response characteristics and more particularly the on-line execution of an adaptive, linear model predictive controller derived from a rigorous, nonlinear model of such processes.

2. Description of the Prior Art

There are many multivariable, time-varying, industrial processes that exhibit either linear or nonlinear response characteristics. Several examples of such processes are a distillation column, a separator train, a catalytic cracking unit, a chemical reactor, and a utility boiler. Control of these processes using traditional multivariable control techniques with fixed model representations is difficult because of the variable process conditions that result from either process nonlinearities, measured or unmeasured disturbances, feedstock changes, or operator-induced changes.

The standard practice for advanced industrial process control in processes of the type described above is to use linear, multivariable, model predictive controller (MPC) software. See for example, the Setpoint, Inc. product literature dated 1993 entitled "SMC-Idcom: A State-of-the-Art Multivariable Predictive Controller"; the DMC Corp. product literature dated 1994 entitled "DMC™: Technology Overview"; the Honeywell Inc. product literature dated 1995 entitled "RMPCT Concepts Reference"; and Garcia, C.E. and Morshedi, A.M. (1986), "Quadratic Programming Solution of Dynamic Matrix Control (QDMC)", Chem. Eng. Commun. 46: 73-87. The typical MPC software allows for model scheduling (i.e. changing the

model gains and/or dynamics) to improve control performance when operating on a nonlinear and/or time-varying process. The controller uses new models that are generally calculated in an off-line mode, or may be calculated by an adaptive algorithm that uses recent operating data.

If the models are calculated off-line, then the controller requires additional on-line logic to determine which set of model parameters should be used at the current time. This logic is often difficult to develop since it may depend on numerous operating variables. For large problems there may be a significant number of different models required in order to improve performance. Furthermore, there is a possibility that invoking a particular set of model parameters for certain operating points will eventually lead to unstable operation. Thus, the off-line model identification task is extremely time-consuming and expensive and its implementation on-line is not proven robust over wide operating ranges. Therefore, in most applications a simplified set of models is defined (e.g. high feed rate and low feed rate models; or winter and summer operation models).

The control performance using a simplified set of models is not much better than what can be achieved using a single model. In fact, controllers developed using this methodology do not usually remain on-line for processes demonstrating a high degree of non-linearity.

Adaptive model identification is another way to modify linear models to capture current operating conditions. This procedure uses recent operating data to automatically adjust the models on-line. One of the biggest problems of this approach is inherent from the dual control principle, which essentially states that the uncertainty in model identification increases as the control performance improves (i.e. as the control

uncertainty decreases). Thus, it is always much more difficult to obtain accurate new models when the controller is running since the input signals are correlated and the output signals have small deviations. In fact, the identification process often fails because of the loss of persistent excitation in the input signals. See Astrom, K.J. and Wittenmark, B. (1995) "Adaptive Control", Addison-Wesley Publishing Company, Inc., pp. 69-70 and 473-477, for a detailed discussion of this problem. This inherent mathematical problem limits the success of adaptive, linear model identification. Current algorithms use various methods to turn off or heavily filter the parameter changes in the adaptive identification. Otherwise, poor models could be selected because of the high model uncertainty and ultimately the control performance would suffer.

There are some types of industrial processes that cause additional problems for empirical model identification methods. For example, a batch process could operate with multiple product runs during which the model gains change by orders of magnitude (e.g. polyethylene, polypropylene processing), yet the batches may not reach equilibrium. Linear, empirical methods cannot obtain accurate models for such processes since the necessary operational data is not available.

Model identification problems also occur for processes that do not allow plant testing near dangerous or unstable operating conditions (e.g. catalytic cracking, reactors). Controllers for such processes try to prevent violation of constraint limits that could lead to unstable behavior, however the benefits often increase by operating closer to the limits. Therefore to improve performance and maximize benefits, the controller needs to have multiple models available for all the operating regions. This is not possible with linear, empirical methods. A rigorous, nonlinear process simulation model

could, however, provide these multiple models for the controller.

The same type of model identification problems can occur for non-dangerous processes that have product specification limits (e.g. distillation columns). The plant manager does not want to generate off-spec product, so the testing must be conducted such that the purity remains within acceptable limits. However, greater benefits are typically obtained by operating closer to these limits. Thus, the empirical methods cannot generate accurate models for operating points that are close to or beyond the limits. High-purity separation processes present the most significant modeling problems as very nonlinear behavior may occur over the different operating regions.

Another disadvantage of empirical MPC identification methods occurs when the controller is used together with real-time optimization (RTO) since the models are not consistent. RTO generally uses a more rigorous, nonlinear model while MPC is either using a fixed linear model obtained from plant testing, or an adaptive, linear model obtained from on-line data. In either case, the MPC models are not derived from the RTO model (nor vice versa), so this inconsistency often leads to significant performance degradation. See for example the article by Y.Z. Friedman entitled "What's wrong with unit closed loop optimization?" which appeared in the October 1995 issue of Hydrocarbon Processing at pp. 107-116.

Another means of treating nonlinear, time-varying processes is to use robust control methods. The idea behind robust control is to use a single process model, but to tune the controller by accounting for modeling uncertainties. The control design is tested against a range of expected operating conditions and is retuned until the performance is fairly consistent (or robust) over all conditions. The robust tuning method reduces the

sensitivity of the controller to model error. Honeywell has applied this technique to its RMPCT (Robust Multivariable Predictive Control Technology) product as described in the product literature referenced above.

However, there are some drawbacks to using robust control techniques. In particular, by restricting the controller to a single linear model, robust tuning often results in slow, sluggish control performance over the typical operating range because it attempts to improve the worst-case performance. Thus, the robust tuning often degrades performance during periods of small modeling error. This occurs because the expected range of modeling uncertainties is often too large for a single model and single set of tuning parameters. It is possible to vary the tuning, but this becomes a difficult on-line implementation task. The real solution is to allow multiple models for processes with significant nonlinearities and/or time-varying behavior.

The patent prior art includes many examples of the use of model-based control systems employing both linear and nonlinear methodologies for control. Most of the prior art in MPC refers to linear controllers [see for example, U.S Patent Nos. 4,349,869 to Prett et al.; 4,616,308 to Morshedi et al.; 5,351,184 to Lu et al.; and 5,572,420 to Lu]. To handle nonlinear, time-varying processes, these controllers may use gain scheduling, adaptive model estimation, or robust control tuning. These approaches typically encounter implementation problems and/or performance degradation for the types of processes and operating conditions described previously.

There have been a few patents issued for nonlinear model-based control methodologies. In particular, U.S. Pat. No. 5,260,865 to Beauford et al. describes a nonlinear model-based control strategy for a distillation process which employs a nonlinear model to compute liquid and vapor flow rates required for composition control.

Sanner and Slotine (US Pat. No. 5,268,834) employ a
neural network together with other nonlinear control
strategies to provide adaptive control of a plant.
Bartusiak and Fontaine (U.S. Pat. No. 5,682,309) developed
a reference synthesis technique in which the controller
attempts to make a nonlinear plant follow a specified
reference trajectory. US Pat. No. 5,740,033 to Wassick et
al. describes an MPC that employs a real-time executive
sequencer and an interactive modeler to find the optimized
10   set of control changes for a nonlinear process.

Large, nonlinear control problems are difficult to
solve in an on-line operating environment. The solver
must be fast and robust. It is unknown whether these
inventions have been able to achieve successful
implementations of on-line, nonlinear control.

Summary of the Invention

The invention is a methodology for process modeling
and control and the software system implementation of this
methodology, which includes a rigorous, nonlinear process
20   simulation model, the generation of appropriate linear
models derived from the rigorous model, and an adaptive,
linear model predictive controller that utilizes the
derived linear models. A state space, multivariable, model
predictive controller (MPC) is the preferred choice for
the MPC since the nonlinear simulation model is
analytically translated into a set of linear state
equations. Thus, a state space MPC simplifies the
translation of the linearized simulation equations to the
modeling format required by the controller. However, the
30   state space methodology also allows the calculation of
various other MPC modeling forms such as transfer
functions, impulse response coefficients, and step
response coefficients. Therefore, the methodology is very
general in that any model predictive controller using one
of the above modeling forms can be used as the controller.

The methodology also includes various modules that

improve reliability and performance. For example, there is a data pretreatment module used to pre-process the plant measurements for gross error detection. A data reconciliation and parameter estimation module is then used to correct for instrumentation errors and to adjust model parameters based on current operating conditions. The full-order state space model can be reduced by the order reduction module to obtain fewer states for the controller model. Automated MPC tuning is also provided to

10   improve control performance.

The present invention is embodied as a method for controlling a process. The method has the steps of:

a)   receiving plant measurement variables from a regulatory control system;

b)   applying the plant measurement variables to update one of more variables of a nonlinear model;

c)   linearizing the updated nonlinear model; and

d)   passing a MPC format model converted from the linearized model to a model predictive controller.

20   The invention is also embodied as a method for controlling a process that has the steps of:

a)   converting a nonlinear model to a linear model for operation of a model predictive controller;

b)   using the nonlinear model in a real time optimizer to compute targets for the model predictive controller; and

~~c)   passing the targets to the controller.~~

The invention is further embodied as a method for controlling a process that has the steps of:

30   a)   converting at least one submodel of a nonlinear model having two or more submodels to a linear model, each of the two or more submodels having a predetermined one of two or more model predictive controllers associated therewith, the linear model for operating the associated one of the two or more controllers;

b)   using the nonlinear model in a real time

optimizer to compute targets for all of the two or more model predictive controllers, a predetermined subset of the computed targets associated with a respective one of the two or more controllers;

      c) passing each of the predetermined subsets of the computed targets associated with a respective one of the two or more model predictive controllers to the associated one of the two or more controllers; and

      d) passing the linear model to the associated one of the two or more controllers.

      The invention is also further embodied as a method for controlling a process that has the steps of:

      a)   applying simulation stimuli to update one or more variables of a nonlinear model;

      b)   linearizing the updated nonlinear model;

      c)   converting the linearized model to a MPC format model; and

      d)   passing the MPC format model to a model predictive controller.

      The invention is embodied as an apparatus for controlling a process having process measurement variables associated therewith, the apparatus comprising:

      a)   a digital processor;

      b)   a model predictive controller having a model for the process therein; and

      c)   a simulation environment routine having a nonlinear model therein, the simulation environment routine executed by the digital processor for:

      (i)   applying process measurement variables to update one or more variables of the nonlinear model;

      (ii)   linearizing the updated nonlinear model; and

      (iii)   passing a MPC format model converted from the linearized nonlinear model to

the model predictive controller.

The invention is also embodied as an apparatus for controlling a process having process measurement variables associated therewith, the apparatus comprising:

    a) a digital processor;

    b) a model predictive controller having a model for the process therein; and

    c) a simulation environment routine having a nonlinear model therein, the simulation environment routine executed by the digital processor for:

        (i)   converting the linear model to the nonlinear model; and

        (ii)   using the nonlinear model in a real time optimizer to compute targets for the model predictive controller; and

        (iii) passing the targets to the model predictive controller.

The invention is further embodied as an apparatus for controlling a process having process measurement variables associated therewith, the apparatus comprising:

    a) a digital processor;

    b) two or more model predictive controllers each having an associated submodel of a linear model having two or more submodels for the process therein; and

    c) a simulation environment routine having the nonlinear model therein, the simulation environment routine executed by the digital processor for:

        (i)   converting at least one submodel of a nonlinear model having two or more submodels to the associated linear submodel;

        (ii)   using the nonlinear model in a real time optimizer to compute targets for all of

the two or more model predictive
controllers, a predetermined subset of
the computed targets associated with a
respective one of the two or more model
predictive controllers;

(iii)   passing each of the predetermined
subsets of the computed targets
associated with a respective one of the
two or more model predictive controllers
to the associated one of the two or more
controllers; and

(iv)   passing the linear submodel to the
associated one of the two or more
controllers.

The invention is also further embodied as an
apparatus for controlling a process having process
measurement variables associated therewith, the apparatus
comprising:

a) a digital processor;

b) a model predictive controller having a model for
the process therein; and

c) a simulation environment routine having a
nonlinear model therein, the simulation
environment routine executed by the digital
processor for:

(i)   applying simulation stimuli to update
one or more variables of the nonlinear
model;

(ii)   linearizing the updated nonlinear model;
and

(iii)   passing a MPC format model converted
from the linearized nonlinear model to
the model predictive controller.

Description of the Drawing

Fig. 1 shows in a block diagram form the various
software components of the invention in the multiple

operating environments and the data flow between components.

Fig. 2 shows a variation of the embodiment of Fig. 1 in which the model change detection module is part of the Model and Tuning Environment.

Fig. 3 shows a variation of the embodiment of Fig. 1 using a different type of MPC controller, i.e. not a state space MPC controller.

Fig. 4 shows an extension of the embodiment of Fig. 1
10 that includes the described methodology together with a real-time optimization module in the Simulation and Optimization Environment.

Fig. 5 shows an extension of the embodiment of Fig. 1 similar to Fig. 4 with a real-time optimization module that is setting targets for multiple MPCs, so there are multiple MPC Environments and multiple Model and Tuning Environments.

Fig. 6 shows a variation of the embodiment of Fig. 1 used for off-line design of an adaptive, linear MPC based
20 on a rigorous, nonlinear model.

Description of the Preferred Embodiment(s)

The embodiment of the complete computer software system 50 shown in Fig. 1 includes a number of different components operating in multiple environments. These multiple environments include a Simulation Environment 10, which receives inputs from a Plant Environment 20; and a Model and Tuning Environment 30 which receives inputs from the Simulation and Plant Environments 10, 20 and from a MPC Environment 40. The MPC Environment 40 receives
30 inputs from the Model and Tuning Environment 30 and from the Plant Environment 20 and provides outputs to the Plant Environment 20 and to the Model and Tuning Environment 30.

The Plant Environment 20 includes regulatory control 22 to control the operation of process 24. As is well known regulatory control 22 typically comprises a process automation system that includes associated instrumentation

such as sensors for measuring temperature, pressure and flow; and analyzers.

Each of the environments 10, 30 and 40 include the following multiple software components:

Simulation Environment 10

- Nonlinear simulation model 12
- Data Pretreatment 14
- Data reconciliation and parameter estimation 16
- Model change detection 18
- Linear model export 19

Model and Tuning Environment 30

- State Space Conversion   32
- Order reduction 34
- Discrete-time conversion 35
- Model Evaluation 36
- Automated MPC tuning 38

MPC Environment 40

- Model transfer smoothing 42
- State estimator and controller 44

The Simulation Environment 10 includes five components or modules: nonlinear model 12, data pretreatment 14, data reconciliation and parameter estimation 16, model change detection 18, and linear model export 19. The nonlinear model 12 is a representation of the industrial process as a set of mathematical equations (ordinary differential, partial differential, integral, and/or algebraic equations). The nonlinear model typically has the following general form:

$$f(x(t), \dot{x}(t), u(t), y(t), \theta) = 0$$
$$g(x(t), u(t), y(t), \theta) = 0$$

where

t represents time,

x(t) is the state vector,

$\dot{x}(t)$ is the time derivative of the state vector,

$u(t)$ is the input vector,

$y(t)$ is the algebraic vector,

θ is the parameter vector,

f defines the differential equations, and

g defines the algebraic equations.

The plant data from the Plant Environment 20 is processed by the data pretreatment module 14, which is designed to detect and remove gross errors from the plant data. The nonlinear model 12 and the data reconciliation and parameter estimation module 16 each receive the processed plant data from module 14.

The data reconciliation and parameter estimation module 16 balances correcting sensor-based measurement errors against readjusting unmeasured disturbances (e.g. equipment attributes or feed characteristics) to provide a real-time estimate of the predicted plant behavior. This module receives measurements preprocessed (i.e. gross error removal) by module 14 from the plant instrumentation and control system (regulatory control 22 in the Plant Environment 20) and processes this information to create a better representation of the plant's performance. The data reconciliation procedure is required to correct for instrumentation errors, such as thermocouple drift, improper flowmeter calibration, etc. by altering the preprocessed measurement data. The parameter estimation procedure uses the reconciled measurement data to readjust model parameters (θ) resulting from changes in unmeasured disturbances that impact model performance. The nonlinear model 12 then uses the reconciled data and new parameter estimates to estimate the values of the other plant variables.

The model parameters may represent physical properties or special properties defined for modeling purposes (e.g. heat exchange coefficients, tank mixing

factors, aggregate feed composition properties, etc.).
Since the parameters are closely associated with the
actual process and plant equipment (as opposed to transfer
function coefficients and step response coefficients) and
since the data is also reconciled by module 16, this type
of parameter estimation procedure generally provides more
accurate on-line estimates than an adaptive, linear model
identification method. The new model parameters are then
passed to the nonlinear model module 12.

10   The model change detection module 18 evaluates the
changes in the model variables, which are defined herein
as parameters (θ) and dynamic variables (x, y, u), and
determines when a new linear model should be generated.
The model change detection logic can be based on various
criteria (e.g. when a single variable changes by more than
a predetermined threshold or if the sum of the absolute
values of all variable changes exceeds a different
threshold, etc.). When the change detection module 18
determines, using one of the criteria described above,
20   that there is a change in the model variables, it sets a
flag and sends it to the nonlinear model module 12. The
nonlinear module 12 then activates the linear model export
module 19 to analytically generate a set of linearized
model equations from the nonlinear model of module 12.

The software of the linear model export module 19
provides symbolic differentiation of the nonlinear model
equations to create linearized equations in the following
form:

$$\begin{bmatrix} G_1 & E_1 \\ H_1 & F_1 \end{bmatrix} \begin{bmatrix} \dot{x}(t) \\ y(t) \end{bmatrix} + \begin{bmatrix} A_1 & B_1 \\ C_1 & D_1 \end{bmatrix} \begin{bmatrix} x(t) \\ u(t) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

30

This set of equations is then passed to the Model and
Tuning Environment 30 in a sparse matrix format.

The Model and Tuning Environment 30 includes five
modules: state space conversion 32, order reduction 34,

discrete-time conversion 35, model evaluation 36, and automated MPC tuning 38. The state space conversion module 32 receives the implicit linear model equations from the rigorous Simulation Environment 10. These equations are then translated by the state space conversion module 32 into a state space matrix model based on the control structure specified in the current controller model, i.e. the set of controller inputs (manipulated variables and disturbance variables) and outputs (controlled variables)

10 received by Model and Tuning Environment 30 from MPC Environment 40. The resulting state space model has the following standard, continuous-time form:

$$\dot{x}(t) = Ax(t) + Bu(t)$$
$$y(t) = Cx(t) + Du(t)$$

The order reduction module 34 is then used to obtain an approximately equivalent state space model, in terms of state observability and controllability, with a reduced number of states. This procedure is extremely important for some practical applications as it may reduce the number of states by one or more orders of magnitude. The

20 controller design is also simplified by reducing the number of states.

The standard technique for order reduction is to convert the state space model to a balanced realization and then truncate some of the states (commonly referred to as balance and truncate method). This method involves finding a balanced realization in which the controllability and observability grammians are equal, and the states are sorted from the most controllable and observable, to the least. The states that are much less

30 observable and much less controllable than the others can then be eliminated (based on a specified threshold) to form the reduced order model. Alternatively, other well known order reduction methods could be used in place of the standard balance and truncate method.

It should be appreciated that the order reduction

module 34 can be bypassed if desired as is shown in Fig. 1 by dotted line 33. For example, such bypassing may occur if the simulation model has small number of states; or to retain same simulation state variables in state space model.

The reduced order model is then converted to a discrete-time state space model by module 35 based on the desired sampling period, T, for the MPC. The MPC format of the model is:

10

$$x(k+1) = A_d\, x(k) + B_{out}\, u(k) + B_{ff}\, d(k)$$
$$y(k) = C_d\, x(k) + D_{out}\, u(k) + D_{ff}\, d(k)$$

where

k represents the time step,

$x(k)$ is the state vector,

$u(k)$ is the manipulated variable vector,

$d(k)$ is the disturbance variable vector, and

$y(k)$ is the controlled process variable.

Alternatively, the order reduction methods may be applied to a full-order, discrete-time state space model. In this alternative procedure, which is not shown in Fig.
20 1, the full-order, continuous-time state space model would first be converted to a discrete-time model, and then order reduction techniques would be applied to the discrete-time model.

Following the creation of a reduced order, discrete-time state space model, the model evaluation procedure of module 36 is used to evaluate the time and frequency domain characteristics of the model. Various open-loop and closed-loop performance criteria are used to compare the new reduced order model to the new full-order model and to
30 the existing controller model, e.g.

(O1) Compare predictions for recent operating data (starting at the time when the parameter or variable change was detected).

(O2) Compare open-loop step responses.

(O3) Compare open-loop frequency responses.

(C1) Compare closed-loop step responses.

(C2) Compare closed-loop frequency responses.

The model evaluation logic can assume one of various forms. For example, compute a weighted sum of the open-loop performance criteria (O1, O2, and O3) and compare to a specified open-loop limit. If the weighted open-loop performance measure is less than the open-loop limit, then the reduced order model is rejected and a new reduced order model is calculated by the order reduction module 34. The open-loop performance measure of this new reduced order model is then evaluated.

If the weighted open-loop performance measure exceeds the open-loop limit, then proceed to compute a weighted sum of the closed-loop performance criteria (C1, C2) using the existing MPC tuning specifications and compare this to a closed-loop limit. If the weighted closed-loop performance measure exceeds the closed-loop limit, then the new reduced order model is accepted and passed to the MPC Environment 40 (with the existing MPC tuning specs).

However, if the weighted closed-loop performance measure is less than the closed-loop limit, then the automated MPC tuning procedure in module 38 is invoked to define new controller tuning specifications for this model.

The automated tuning module 38 tries to find new tuning specifications for the MPC state estimator and controller 44. Various tuning methods can be used. For example, one method is to find new tuning specifications that improve the closed-loop performance measure of the new model while matching tuning speed factors of the current model. The tuning speed factors are calculated for each controlled variable based on the closed-loop speed of response relative to the open-loop speed of response using predetermined typical manipulated variable moves. As a first step, this module reads information from the MPC

Environment 40 regarding the current model and tuning specifications, including the tuning speed factors. The automated tuning module 38 then performs an iterative search to find the tuning specifications for the new model that maximize the weighted closed-loop performance measure while maintaining the same tuning speed factors as in the existing model.

Thus, in the Model and Tuning Environment 30, it is possible to have iterations between the order reduction 34, model evaluation 36, and automated MPC tuning 38 modules. When the iterations are complete, a new model and possibly new tuning specifications are passed to the MPC Environment 40. If the number of iterations reaches a predetermined limit, then the iterations cease without passing a new model to the MPC Environment 40.

It is also possible to use a simplified procedure for on-line model evaluation in order to reduce the computation time (e.g. just use performance measure O1, or O1 and C1). In that case, the off-line model evaluation procedure may be more complicated (such as the one described above) and the results may be used to help define the simplified on-line procedure (e.g. find appropriate thresholds for O1 and C1).

Whenever a new model and tuning specifications are accepted by the model evaluation module 36, the MPC Environment 40 receives the new model and tuning specifications. This information can either be automatically downloaded to the MPC 44, or presented to an operator/engineer for approval prior to download. After a new model is downloaded to the MPC 44, the model transfer smoothing module 42 is invoked to smooth the transfer between the two models

In one embodiment of the present invention, the MPC controller was embodied by an AdvaControl 3dMPC available from ABB. This controller is described in Sweden patent application serial number 9903592-5, the disclosure of

which is expressly incorporated herein by reference. In such an embodiment, the model transfer smoothing module uses the observer or state estimator tuning specifications passed from the Model and Tuning Environment 30 to calculate a new gain vector for the state estimator of the new model and then uses past operating data to smooth its initialization. The MPC state estimator updates the estimates of the states based on linear model predictions and current operating data.

10   In this embodiment of the present invention the MPC controller uses the state estimates and finds the optimal solution to a control problem of minimizing a weighted sum of manipulated variable moves plus controlled variable deviations from setpoints, and violations of constraints. The control problem also includes hard absolute and rate of change constraints for the manipulated variables. A move horizon is defined for each manipulated variable and a prediction horizon is defined for each controlled variable. The MPC solution defines the proposed moves for

20   each manipulated variable during its move horizon. For each manipulated variable, the first calculated move is added to its current value and then sent to the plant environment to be implemented in the regulatory control module 22. The manipulated variable values represent new targets for the regulatory controllers (usually PID controller setpoints) or outputs to actuators. These regulatory control changes affect the process, then new data is sent to each of the other three environments (Simulation 10, Model and Tuning 30, and MPC 40), and the

30   entire procedure is repeated.

Figure 2 shows a variation 100 of the preferred embodiment of Figure 1 in which the model change detection module 18 of the Simulation Environment 10 of Fig. 1 is now included as module 31 in the Model and Tuning Environment 30' of Fig. 2. In this representation, the model change detection module 31 either receives

model predictions from the MPC Environment 40 and compares these to the measurement data from the Plant Environment 20 to determine the model prediction errors or depending on the type of MPC receives the prediction errors directly from the MPC.

The model change detection module 31 then evaluates the model prediction errors and determines when a new linear model should be generated. The change detection logic of module 31 can be based on various criteria (e.g.

10   when a single prediction error exceeds a predetermined threshold or the sum of the absolute values of all prediction errors exceeds a different threshold). When the change detection logic determines that there is significant model error, it sets a flag and sends it to the Simulation Environment 10'.

The linear model export module 19 of the Simulation Environment 10' then provides linearized equations of the nonlinear model of module 12 to the state space conversion module 32 of the Model and Tuning Environment

20   30'. Other than this distinction, the remaining modules function as described in the preferred embodiment and have reference numerals which are identical to those used in Fig. 1.

Figure 3 shows a variation 110 of the preferred embodiment of Figure 1 using a non-state space MPC wherein the environments and modules that are the same as those in Fig. 1 have reference numerals which are identical to those used in Fig. 1 for those environments and modules. The MPC Environment 40' of Fig. 3 includes a

30   model predictor and controller 44' instead of the state estimator and controller 44 shown in the embodiment of Fig. 1. The Model and Tuning Environment 30' has a conversion and model calculation module 34' that replaces the order reduction and discrete-time conversion modules 34, 35 of the embodiment of Fig. 1. The conversion and model calculation module 34' includes a transformation of

the full order continuous-time state space model provided by the state space conversion module 32 to a discrete-time model, and the calculation of the model parameters in their corresponding MPC format.

In a further variation, not shown in Fig. 3, the conversion and model calculation module 34' could transform a reduced order state space model provided by the order reduction module 34 of Fig. 1 to a discrete-time model (instead of converting the full order state space model). Another variation, also not shown in Fig. 3, is to add a module that reduces the complexity of the model generated by the conversion and model calculation module 34'. For example, a module could be added to reduce the order of a transfer function matrix representation of the model.

A further variation, also not shown in Fig. 3, is to first reduce the complexity of the linear model and then convert it to the MPC format required to update the MPC model. For example, it is possible to only extract the linearized steady-state (DC) gains from the rigorous nonlinear simulation model and then apply only these gains (without changes in the dynamics) to the MPC model. If the new model results from any of the procedures using the various embodiments for the conversion and calculation module 34' described above in connection with Fig. 3, that model is referred to as a simplified MPC format model.

Figure 4 shows an extension 120 of the preferred embodiment of Figure 1 to also include a real-time optimization module 17 in the modified Simulation and Optimization environment 10'. The environments and modules in Fig. 4 that are the same as those in Fig. 1 have reference numerals which are identical to those used in Fig. 1 for the corresponding environments and modules. The real-time optimization module 17 of Fig. 4 uses the rigorous, nonlinear process model data from module 12 (the data could be read from a shared memory location).

This model data is reconciled and includes updated model parameters from module 16.

The real-time optimization module 17 then solves for the values of the optimization variables that optimize its objective function (e.g. to minimize operating costs). The output data of the real-time optimization module 17 typically represents targets for the MPC controller (e.g. steady-state targets for some or all of the manipulated variables and setpoint targets for some or all of the controlled variables). This data is passed to the state estimator and controller 44 of the MPC Environment 40 for implementation. The output data of the real-time optimization module 17 is also passed to the nonlinear model 12 within the Simulation and Optimization Environment 10'. Alternatively, the output of module 17 could be passed to a memory location that is shared with the nonlinear model 12.

Depending on the required and available hardware resources, the optimization extension of the preferred embodiment may include a separate Optimization Environment, not shown in Fig. 4, for the real-time optimization module 17. In this case, the Optimization Environment would communicate with the Simulation Environment to utilize the same rigorous, nonlinear model data. The real-time optimization module 17 would send its output to both the MPC Environment and to the Simulation Environment.

Figure 5 shows a modified version 130 of Figure 4 in which the nonlinear model 12 and the real-time optimization module 17 of the Simulation and Optimization Environment 10' communicate with multiple MPC Environments 40' and multiple Model and Tuning Environments 30'. In this embodiment, there is a single nonlinear model 12 representing the complete plant and a single real-time optimization module 17. However, there can be more than one MPC, each MPC associated with a

section of the complete plant model (i.e. a submodel).
Each MPC uses a model representing the corresponding
plant submodel to control this portion of the plant.
Thus, if there are N MPCs, then as is shown in Fig. 5,
the MPC Environment 40' comprises 1 to N such
environments and the Model and Tuning Environment 30'
also comprises 1 to N such environments, each of the 1 to
N Environments 30', 40' associated with a respective one
of the N MPCs. The optimization module 17 provides
10   targets for some or all of the N MPC controllers.

The model change detection module 18' of the
Simulation and Optimization Environment 10' determines
when there is a significant change in one or more of the
N submodels. When this occurs, the model change detection
module 18' sets flags for each of the N submodels in
which there are significant changes. The linear model
export module 19' then provides new linearized submodel
equations to each of the N Model and Tuning Environments
30' whose corresponding change detection flag is set.

20   In another variation not shown in Figure 5, there
could, as is shown in Fig. 2, be a model change detection
module 31' in each Model and Tuning Environment 30'
instead of having a single model change detection module
in the Simulation and Optimization Environment 10'. In
this variation, each model change detection module
determines when to generate a new linear submodel for its
corresponding MPC.

Figure 6 shows a variation 160 of the embodiment of
Fig. 1 used for off-line design of an adaptive, linear MPC
30   based on a rigorous, nonlinear model. In this embodiment,
the Plant Environment 20 of Fig. 1 is replaced by a
Stimulus Environment 60 which provides the simulated
operator actions and process disturbances. The term
"simulation stimuli" is used herein to refer to the
simulated operator actions and process disturbances. The
simulation stimuli can be generated automatically from a

pre-determined event file or randomly from an event generator, or spontaneously from the user or can be generated from any combination of the foregoing sources. The simulation stimuli is sent to the nonlinear model 12 of the Simulation Environment 10. The nonlinear model 12 provides simulated plant measurement data for the data pretreatment module 14 and for the Model and Tuning Environment 30 and the MPC Environment 40. The MPC Controller 44 provides targets for the regulatory

10 controllers which are simulated within the nonlinear model 12. The remaining modules and data transfers between modules function in exactly the same manner as in the embodiment of Fig. 1.

It is to be understood that the description of the preferred embodiment(s) is (are) intended to be only illustrative, rather than exhaustive, of the present invention. Those of ordinary skill will be able to make certain additions, deletions, and/or modifications to the embodiment(s) of the disclosed subject matter without

20 departing from the spirit of the invention or its scope, as defined by the appended claims.